



## Industry Professionals

Regulation **Compliance** Education Enforcement

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### FINRA Entitlement Program

The FINRA Entitlement Program provides a secure way to access participating Web-based systems with a shared entitlement service. This service provides authorized users the ability to access these systems with a single FINRA User ID and Password. Currently, there are more than 20 FINRA applications available via this shared entitlement platform and more applications will be added in the future.

Beginning March 29, 2010, FINRA is introducing changes to the Entitlement Program, including a new Super Account Administrator (SAA) role at each organization. The SAA can create, modify and delete account administrator and user accounts for FINRA applications that the organization uses. In addition, the SAA can manage his or her own access to those FINRA applications. FINRA will implement

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the SAA role in phases from late March 2010 through early 2011. See [Information Notice 3-24-10](#) for details. Until FINRA notifies your organization to designate an SAA, continue following the entitlement process outlined on the pages below.

Below are resources for the FINRA Entitlement Program, and the tools and systems available to both account administrators and system users.

- [Announcements](#)
- [Systems/Applications Information](#)
- [FINRA Entitlement Program Agreement & Terms of Use](#)
- [Forms & Confirmation Packets](#)
  - [FINRA Entitlement Agreement \(FEA\)](#)
  - [Account Administrator Entitlement Forms \(AAEF\)](#)
  - [FINRA Entitlement Modification Forms](#)
  - [FINRA Entitlement Confirmation Packet](#)
  - [IARD Entitlement Forms & Packets](#)
- [Entitlement Help & Training](#)

Questions about FINRA Entitlement?

Contact the Gateway Call Center:

- Broker-dealer (BD) firms: (301) 869-6699
- Investment adviser (IA) firms: (240) 386-4848
- Regulators: please call the Regulatory User Support Desk